

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
12 July 2001 (12.07.2001)

PCT

(10) International Publication Number
WO 01/49769 A1

(51) International Patent Classification⁷: C08G 61/12,
C09K 11/06, H05B 33/14, H01L 51/20, 51/30

Richard [GB/GB]; 53 Bearton Green, Hitchin, Herts SG5
1UL (GB).

(21) International Application Number: PCT/GB01/00029

(74) Agents: EVENSON, Jane, Harriet et al.; Page White &
Farrer, 54 Doughty Street, London WC1N 2LS (GB).

(22) International Filing Date: 4 January 2001 (04.01.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
0000095.0 5 January 2000 (05.01.2000) GB
60/185,737 29 February 2000 (29.02.2000) US
0005842.0 10 March 2000 (10.03.2000) GB

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ,
DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR,
HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ,
NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM,
TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,
IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF,
CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

(71) Applicant (*for all designated States except US*): CAM-
BRIDGE DISPLAY TECHNOLOGY LIMITED
[GB/GB]; Greenwich House, Madingley Rise, Madingley
Road, Cambridge CB3 0HJ (GB).

Published:

— With international search report.

(72) Inventors; and

(75) Inventors/Applicants (*for US only*): TOWNS, Carl,
Robert [GB/GB]; 193 Silver Street, Crown Cottage,
Mountfitchet, Stansted, Essex CM24 8HB (GB). O'DELL,

*For two-letter codes and other abbreviations, refer to the "Guid-
ance Notes on Codes and Abbreviations" appearing at the begin-
ning of each regular issue of the PCT Gazette.*

(54) Title: POLYMERS, THEIR PREPARATION AND USES

(57) Abstract: A polymer which comprises triarylamine units, which polymer comprises one or more structural units comprising $\text{Ar}^h\text{-NAr}_2$, where Ar^h comprises a substituted or unsubstituted heteroaryl group and each Ar is the same or different and comprises a substituted or unsubstituted aryl or heteroaryl group and excluding the copolymer where the polymer backbone contains one or more divinylenearylene units.

WO 01/49769 A1

BEST AVAILABLE COPY

POLYMERS, THEIR PREPARATION AND USES

The present invention relates to a conjugated polymer and uses thereof such as in an optical device, and to a process for preparing such a polymer.

Organic electroluminescent devices which use an organic material as the light-emissive material are known in this art. Among organic materials, simple aromatic molecules such as anthracene, perilene and coronene are known to show electroluminescence. US 4,539,507 discloses the use of small molecule organic materials as the light-emissive material, for example, 8-hydroxy quinoline (aluminium). PCT/WO90/13148 discloses an electroluminescent device comprising a semiconducting layer comprising a polymer film as the light-emissive layer which comprises at least one conjugated polymer. In this case, the polymer film comprises a poly(para-phenylene vinylene) (PPV) film.

It is known to use a semiconductive conjugated co-polymer as the light-emissive layer in an electroluminescent device. The semiconductive conjugated copolymer comprises at least two chemically different monomers which, when existing in their individual homopolymer forms, typically have different semiconductor bandgaps. The proportion of the chemically different monomer units in the copolymer can be selected to control the semiconductor bandgap of the copolymer so as to control the optical properties of the copolymer. The extent of conjugation of the copolymer affects the π - π^* bandgap of the copolymer. This property may be exploited so that the semiconductor bandgap is modulated to control the wavelength of radiation emitted during luminescence. In addition, by modulating the semiconductor bandgap of the copolymer it is possible to increase the quantum efficiency of the copolymer when excited to luminesce. Furthermore, the semiconductor

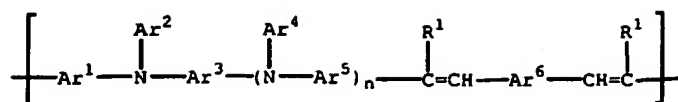
bandgap is a factor affecting the refractive index of the copolymer.

A method of preparation for aryl-containing conjugated polymers is the Suzuki reaction. US 5,777,070 is directed to attempts to improve the Suzuki reaction to form conjugated polymers from aromatic monomers. The process involves contacting (i) monomers having two reactive groups selected from boronic acid, C₁-C₆ boronic acid ester C₁-C₆ borane and combinations thereof with aromatic dihalide functional monomers or (ii) monomers having one reactive boronic acid, boronic acid ester or borane group and one reactive halide functional group with each other. Various aromatic monomers are proposed including those containing triarylamines.

A triarylamine unit has the general structure Ar¹Ar²Ar³N where each Ar is the same or different and is an aryl or heteroaryl group.

US 5,633,337, US 5,536,866 and US 5,534,613 each discloses a polymer which comprises triarylamine units. In these polymers, a repeat unit can be defined which comprises a heteroaryl group. However, the heteroaryl group is not directly linked to a nitrogen group. Instead, the heteroaryl group is indirectly linked to a nitrogen group via a phenylene group. In each of these three documents this is an essential feature of the described polymer structure. This structure is essential for achieving the advantageous properties of the described polymers.

US 5,814,244 is concerned broadly with an electroluminescence material comprising one or more polymers which comprise structural units of the formula:



where the symbols and indices have the following meanings:

Ar^1 , Ar^2 , Ar^3 , Ar^4 , Ar^5 , Ar^6 are identical or different, monocyclic and/or polycyclic aryl and/or heteroaryl groups which may be linked via one or more bridges and/or be condensed and may be unsubstituted or substituted, where Ar^1 , Ar^3 , Ar^5 and Ar^6 are each divalent and Ar^2 and Ar^4 are each monovalent;

R^1 is H, a hydrocarbon radical having from 1 to 22 carbon atoms, which may be unsubstituted or substituted, preferably by F, and can also contain heteroatoms, preferably O, or Ar^7 , where Ar^7 is, independently of Ar^{1-6} , as defined for Ar^{1-6} ;

n is 0, 1 or 2.

The polymers disclosed in this document have low molecular weight and, thus, would have relatively low processability. The presence of vinyl groups in the polymer makes the polymer relatively unstable. The polymers disclosed in this document are not end-capped.

In view of the prior art, there still remains a need for providing new polymers suitable for use in optical devices, particularly electroluminescent devices.

The present invention aims to provide such a new polymer.

Accordingly, in a first aspect, the present invention provides a polymer which comprises triarylamine units. The polymer comprises one or more structural units comprising $\text{Ar}^h - \text{NAr}_2$ where N is directly linked to Ar^h and in which each Ar is the same or different and comprises a substituted or unsubstituted aryl or heteroaryl group and N is directly linked to Ar^h .

It has been found that incorporation of triarylamine units into polymers according to the present invention provides materials with the attractive physical and processing properties of polymers and the ability in their synthesis to select the aryl or heteroaryl groups and their substituents so as to modulate the bandgap of the polymers. This is an important feature, particularly in the design of electroluminescent devices whose efficiency can depend upon the matching of the highest occupied molecular orbital and lowest unoccupied molecular orbital levels of the polymer with the cathode and anode and device host material.

For the purposes of the present invention, the term "polymer" should be interpreted as including linear and branched polymers, oligomers, dendrimers, homopolymers, copolymers and terpolymers.

Preferably, Ar^h comprises a substituted or unsubstituted nitrogen-containing heteroaryl group. Generally, the heteroaryl group should comprise an electron-deficient heteroatom.

Preferably, each Ar is a substituted or unsubstituted phenyl group. Where the phenyl group is unsubstituted, the polymer is an oligomer. Where the phenyl group is substituted, substituents may be of a nature so as simply to build up the polymer chain and/or to control the bandgap of the polymer or to confer on the polymer solubility in a particular solvent system. Typical solvents include non-polar solvents. For these purposes it is preferred that the polymer bears one or more substituents.

In a first embodiment, the polymer comprises a linear polymer in which the or each structural unit comprises $Ar^h(NAr_2)Ar^{co}$, wherein Ar^{co} comprises a substituted or unsubstituted aryl or

heteroaryl group, Ar^h comprises a substituted or unsubstituted heteroaryl group and each Ar is the same or different and comprises a substituted or unsubstituted aryl or heteroaryl group. It is preferred that Ar^{co} is different from Ar^h . As discussed above, a substituent on Ar^{co} can modulate the semiconductor bandgap of the polymer.

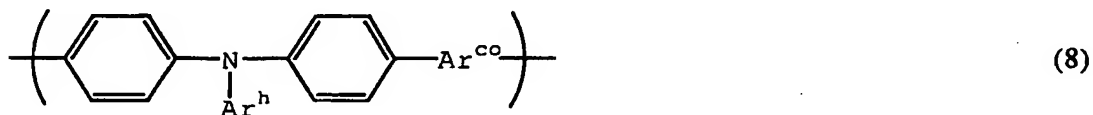
The copolymer where the polymer backbone contains one or more divinylenearylene units may be excluded from the scope of the present invention. Furthermore, a homopolymer where the backbone contains one or more divinylenearylene units can be excluded from the present invention. Generally, this copolymer and this homopolymer are not excluded from the present invention.

In a first aspect of the first embodiment it is preferred that Ar^h is pendent from the polymer backbone.

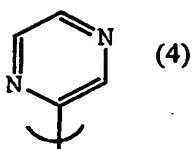
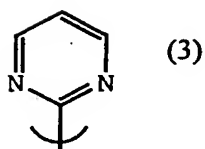
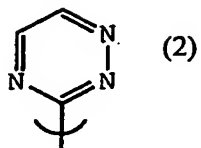
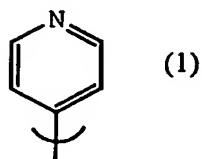
In a first subgroup of the first aspect of the first embodiment it is preferred that the polymer comprises a plurality of structural units, having a formula as shown in formula (13):



Most preferably in this first subgroup, the polymer comprises a plurality of structural units, having a formula as shown in formula (8):



In formulae (13) and (8) above, Ar^h preferably comprises a group as shown in any one of formulae (1) to (4):

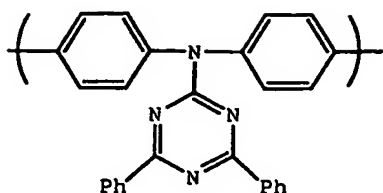


In a second subgroup of the first aspect of the first embodiment, it is preferred that the polymer comprises a plurality of structural units having a formula as shown in formula (14):



where Ar^h may be further substituted. Increasing the number of substituents on Ar^h is one way of increasing the solubility of the polymer.

Most preferably in this second subgroup the polymer comprises a plurality of structural units, having a formula as shown in formula (15):



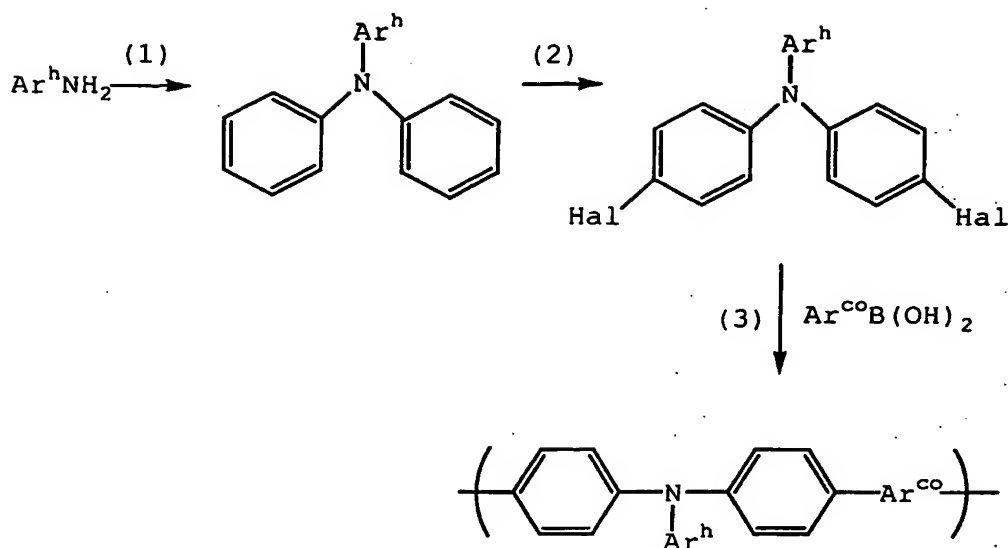
(15)

Other substituents can be used on the triazine ring. These substituents modulate the bandgap of the polymer and alter the charge transport properties of the polymer.

Conveniently, the triazine ring is stable and is easily substituted. However, groups such as any isomer of diazine or pyridene could be used in place of the triazine ring.

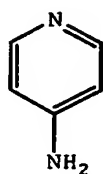
A polymer comprising a repeat unit having a formula as shown in one of formulas (8), (13), (14) or (15) may comprise a copolymer or co-oligomer. Alternatively, the polymer may comprise a homopolymer or homo-oligomer that is made from a single type of monomer. In this regard a monomer is distinguished from a repeat unit in so far as a homopolymer, for example $(AB)_n$ may be defined as having one or more different repeat units (i.e. a single repeat unit AB, or a repeat unit A and a repeat unit B).

A typical process for preparation of a polymer according to the first subgroup of the first aspect of the first embodiment is as follows.

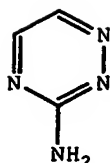


In the above reaction scheme, each Hal is preferably Br. The conditions in step 3 are any conditions under which the reactants polymerise such as under Suzuki polymerisation conditions which may typically involve the use of a palladium catalyst. Step 1 is typically a palladium or copper coupling reaction where the starting material, Ar^hNH_2 , is reacted with iodobenzene or bromobenzene. Step 2 is a halogenation reaction.

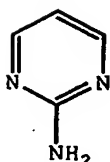
Where Ar^h comprises a group as shown in any one of formulae (1) to (4), Ar^hNH_2 as referred to in the above reaction scheme may be:



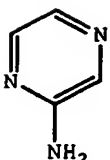
(1a)



(2a)

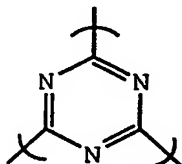


(3a)



(4a)

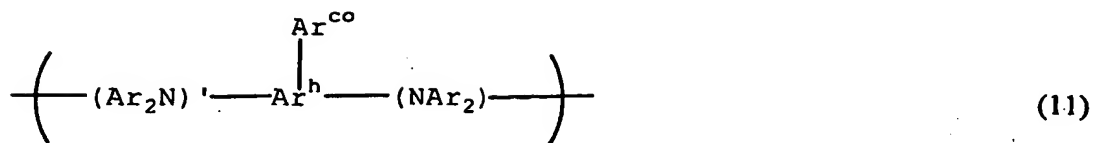
In a second aspect of the first embodiment, Ar^h forms a part of the polymer backbone. In this second aspect, it is preferred that Ar^h comprises an amino substituted heteroaryl group, more preferably, an amino substituted heteroaryl group having formula (5):



(5)

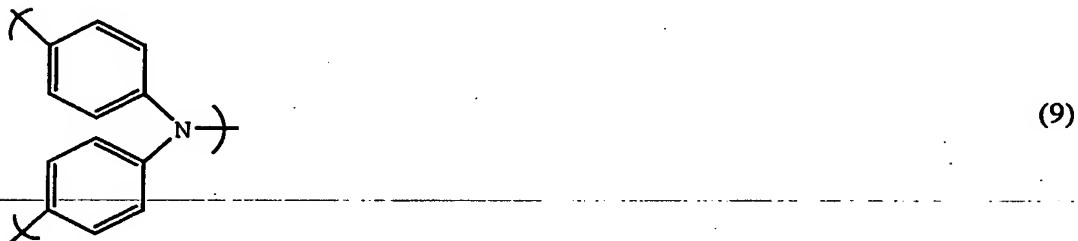
In the second aspect of the first embodiment, it is preferred that Ar^{co} is a substituted or unsubstituted phenyl group. Ar^{co} is chosen having regard to steric hinderance and possible twisting of the polymer chain with a view to controlling the extent of conjugation of the polymer.

In the second aspect of the first embodiment, it is further preferred that Ar^{co} is pendent from the polymer backbone, in this regard, it is still further preferred that the or each structural unit comprises a group having formula (11):

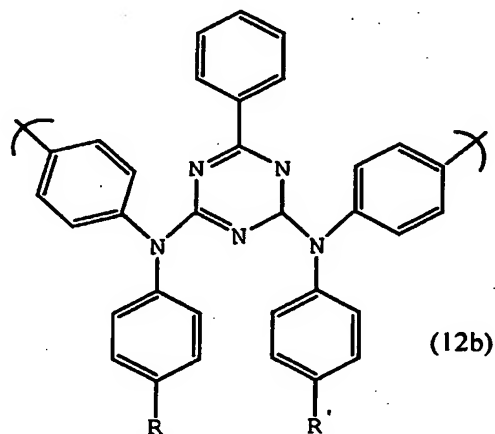
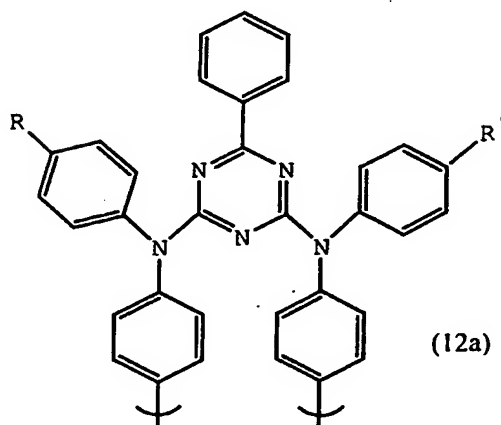


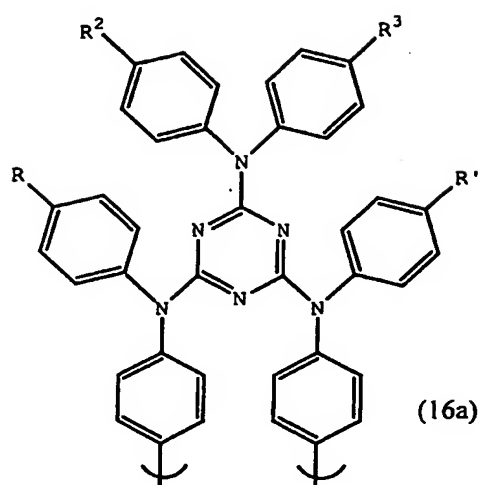
where Ar^{h} , Ar^{co} are as defined above and $(\text{Ar}_2\text{N})'$ is the same or different from (NAr_2) .

Preferably, (NAr_2) and $(\text{Ar}_2\text{N})'$ are the same. More preferably, (NAr_2) and $(\text{Ar}_2\text{N})'$ each comprise a group having general formula (9) that is substituted or unsubstituted:

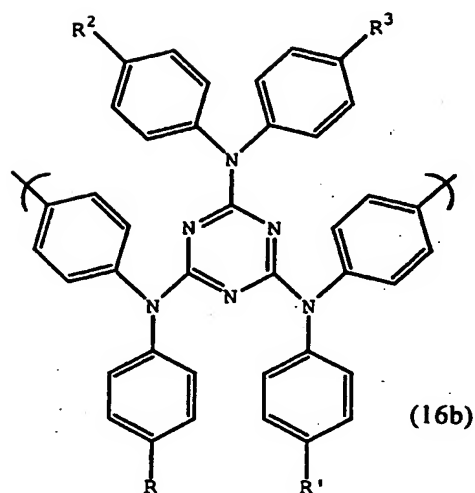


Advantageously, in the first embodiment of this invention, the or each structural unit is a repeat unit in the present polymer. Preferred structural units that are each a repeat unit according to the second aspect of the first embodiment are shown by Formulae (12a) and (12b) and (16a) and (16b):





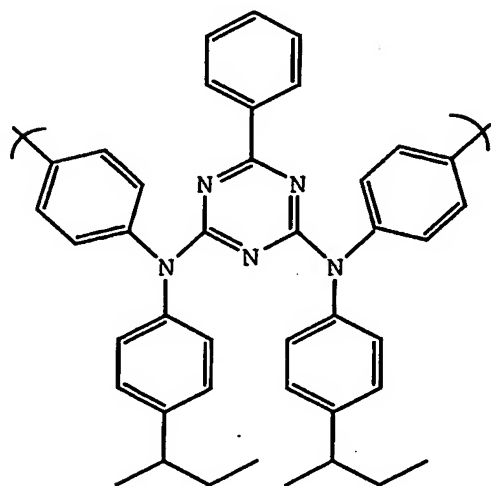
or



where R, R', R² and R³ are the same or different and each R is a substituent group, preferably hydrocarbyl, optionally containing one or more heteroatoms, more preferably aryl, alkyl, heteroaryl, heteroalkyl, arylalkyl, aromatic or heteroaromatic.

Preferably, R and R' are the same.

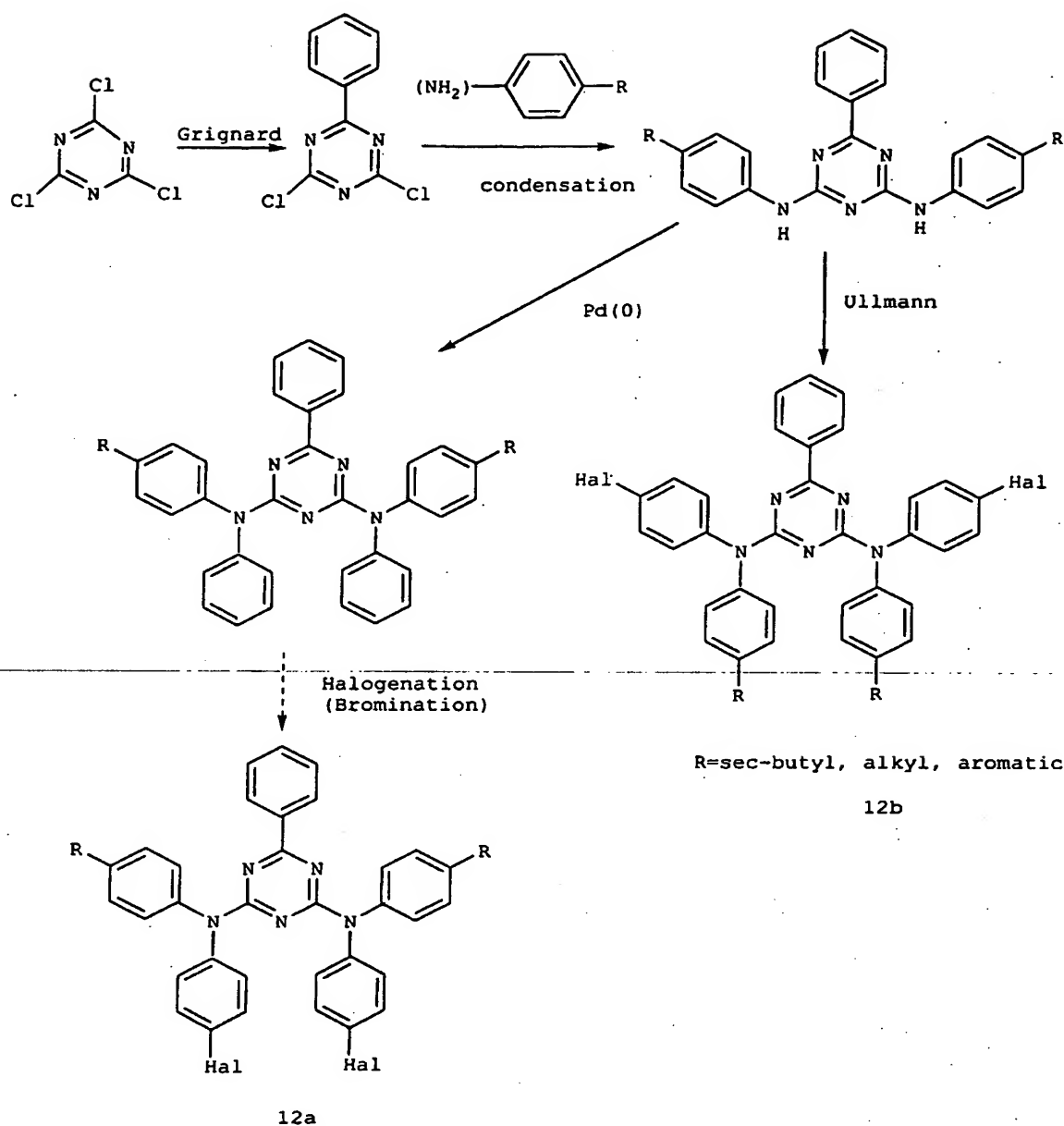
A particular structural unit that is a repeat unit of interest having formula (12) is shown by Formula (13):



The present inventors envisage that a homopolymer comprising a repeat unit having general formula (12), (13) or (16) would be useful as an electron transport material in an optical device, particularly an electroluminescent device.

Also, reference is made to International patent publication No. WO/0055927 the contents of which are incorporated herein by reference. Repeat units according to the present invention such as those having general formula (12), (13) or (16), particularly general formula (12), are envisaged to be useful as charge (hole or electron) transporters and/or as emitters in polymers according to WO/0055927.

The first steps in a typical process for preparing a polymer comprising a structural unit or repeat unit having formula (12) or (13) are shown below:



Product 12a or 12b then can be used in any suitable polymerisation reaction to obtain a polymer according to the second aspect of the first embodiment.

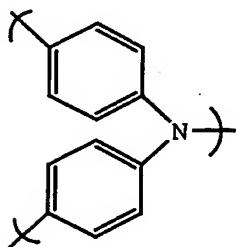
In the above reaction scheme, each Hal is preferably Br.

A preferred polymer in accordance with the second aspect of the first embodiment is a copolymer. Such polymers are envisaged as being useful for transporting electrons when used in an optical device, particularly an electroluminescent device. At the same time as being capable of transporting electrons, such polymers also may be capable of transporting protons and/or combining protons and electrons so as to generate light.

It is preferred that a polymer according to the first or second aspect of the first embodiment is at least 100, preferably at least 150, monomers in length. A typical upper limit for the polymer length is about 300 monomers, although the length could be up to 1000 monomers.

In a second preferred embodiment, the polymer comprises a dendrimer comprising an initiator core or core unit and branch units. Preferably, the one or more structural units comprises a core unit. Most preferably, the polymer has a single structural unit.

According to the second embodiment, the dendrimer more preferably comprises a branch unit, specifically one type of branch unit, the most preferred branch unit being as shown in formula (9) which is substituted or unsubstituted.



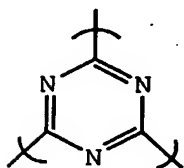
(9)

Preferably the dendrimers according to the second embodiment of the present invention are from 1st to 10th generation

dendrimers.

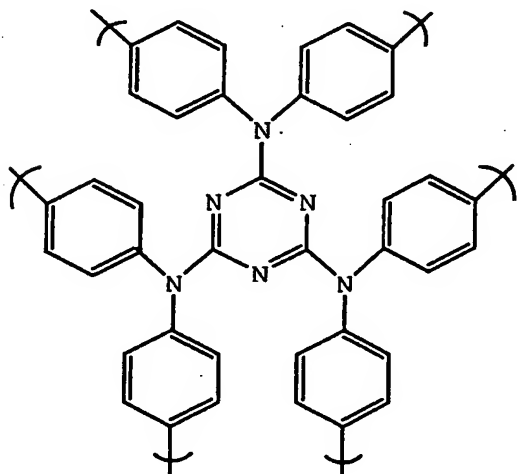
In a first aspect of the second embodiment, one or more structural units comprises $\text{Ar}^h(\text{NAr}_2)_3$, where each N is directly linked to Ar^h and each (NAr_2) is the same or different. Preferably, each (NAr_2) is the same and is a substituted or unsubstituted phenyl group. As discussed above, each (NAr_2) is chosen so as to control the bandgap of the polymer.

It is preferred that, in the first aspect of the second embodiment, Ar^h comprises a group as shown in formula (5):



(5)

In the most preferred first aspect of the second embodiment, the polymer comprises a single structural unit comprising a group as shown in formula (6):



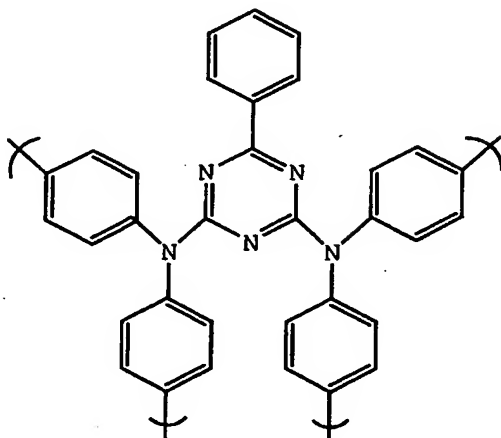
(6)

where the structural unit comprises the core unit.

In a second aspect of the second embodiment, the structural unit comprises $\text{Ar}^h(\text{NAr}_2)_2\text{Ar}''$, where Ar'' is a substituted or unsubstituted aryl or heteroaryl group and each (NAr_2) is the same or different. Preferably, as in the first aspect of the second embodiment, each (NAr_2) is the same and is a substituted or unsubstituted phenyl group.

Preferably, Ar'' is a substituted or unsubstituted phenyl group. Ar'' should be chosen having regard to steric hinderance and possible twisting of the polymer chain with a view to controlling the extent of conjugation of the polymer.

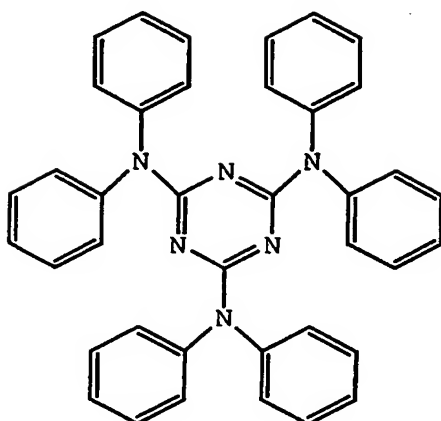
In a most preferred embodiment of the second aspect of the second embodiment, the polymer comprises a single structural unit comprising a group having the formula as shown in formula (7):



(7)

where the single structural unit comprises the core unit.

A typical process for preparation of a polymer according to the first aspect of the second embodiment involves melamine as a starting material. This may be subjected to a palladium coupling reaction with iodobenzene or bromobenzene to produce a structure as shown in formula (10):



(10)

Further generations of the dendrimer may be built by subjecting the compound having formula 10 to one or more cycles of halogenation, preferably bromination, followed by a reaction with $(\text{Ph})_2\text{NB}(\text{OH})_2$, under appropriate conditions. This may conveniently be carried out using a Suzuki reaction. A corresponding process may be used to prepare a polymer according to the second aspect of the second invention where 2,4-diamino-6-phenyl-1,3,5-triazine (a melamine derivative) is used in place of the melamine starting material.

In the second embodiment, the core unit may comprise a structure as shown in formula (6) or (7). In the polymer, linear repeat units such as those shown in formula (12) or (15) may be attached to the core unit. Such polymers may be defined as "linear" but dendrimeric. These polymers are envisaged to be useful as charge (electron or hole) transport materials and/or as emissive materials.

Such a polymer preferably is at least 100, preferably at least 150, monomers in length. A typical upper limit for the polymer length is about 300 monomers, although the length could be up to 1000 monomers.

In a further aspect, there is provided use of a polymer according to the first or second embodiment of the present invention in an optical device such as a luminescent device, preferably an electroluminescent device. Other devices include photoluminescent devices, photovoltaic devices and waveguides. Uses include the use of the polymer as an emissive material, a hole transporter or an electron transporter, typically in an electroluminescent device. The polymers may also be used in a dye composition, in a fiber or in a sensor.

In the present invention, there is further provided an optical device comprising a substrate and a composition supported by the substrate, which composition comprises a polymer according to the present invention. The substrate may itself be an electrode or any other type of substrate suitable for supporting the composition. The composition may include various layers such as an emissive layer optionally in contact with one or more hole and/or electron-transporting layer. Where the optical device comprises an electroluminescent device, the composition includes an electroluminescent emissive layer which may be the polymer or another emissive polymer.

A typical electroluminescent device will have an anode layer, a cathode layer and a light-emissive layer situated between the anode and the cathode. Optionally, a charge transport layer may be situated between the anode and/or the cathode and the light-emissive layer.

A blend of materials including a polymer according to the present invention may be used as the light-emissive layer in an electroluminescent device. Preferably, the polymer according to the present invention is blended with one or more hole and/or electron-transporting materials, even more preferably hole and/or electron-transporting polymers.

Alternatively, the polymer according to the present invention may be blended with an electroluminescent material, preferably any electroluminescent polymer.

Several different polymerisation methods are known which may be used to manufacture polymers in accordance with the present invention.

One particularly suitable method is disclosed in International patent publication No. WO 00/53656, the contents of which are incorporated herein by reference. This describes the process for preparing a conjugated polymer, which comprises polymerising in a reaction mixture (a) an aromatic monomer having at least two reactive boron derivative groups selected from a boronic acid group, a boronic ester group and a borane group, and an aromatic monomer having at least two reactive halide functional groups; or (b) an aromatic monomer having one reactive halide functional group and one reactive boron derivative group selected from a boronic acid group, a boronic ester group and a borane group, wherein the reaction mixture comprises a catalytic amount of a catalyst suitable for catalysing the polymerisation of the aromatic monomers, and an organic base in an amount sufficient to convert the reactive boron derivative functional groups into $-BX_3$ anionic groups, wherein X is independently selected from the group consisting of F and OH.

Polymers according to the present invention which have been produced by this method are particularly advantageous. This is because reaction times are short and residual catalyst, e.g. palladium, levels are low.

This polymerisation method allows good control of the molecular weight of the polymer. In part this is because this polymerisation method gives rise to few side reactions which

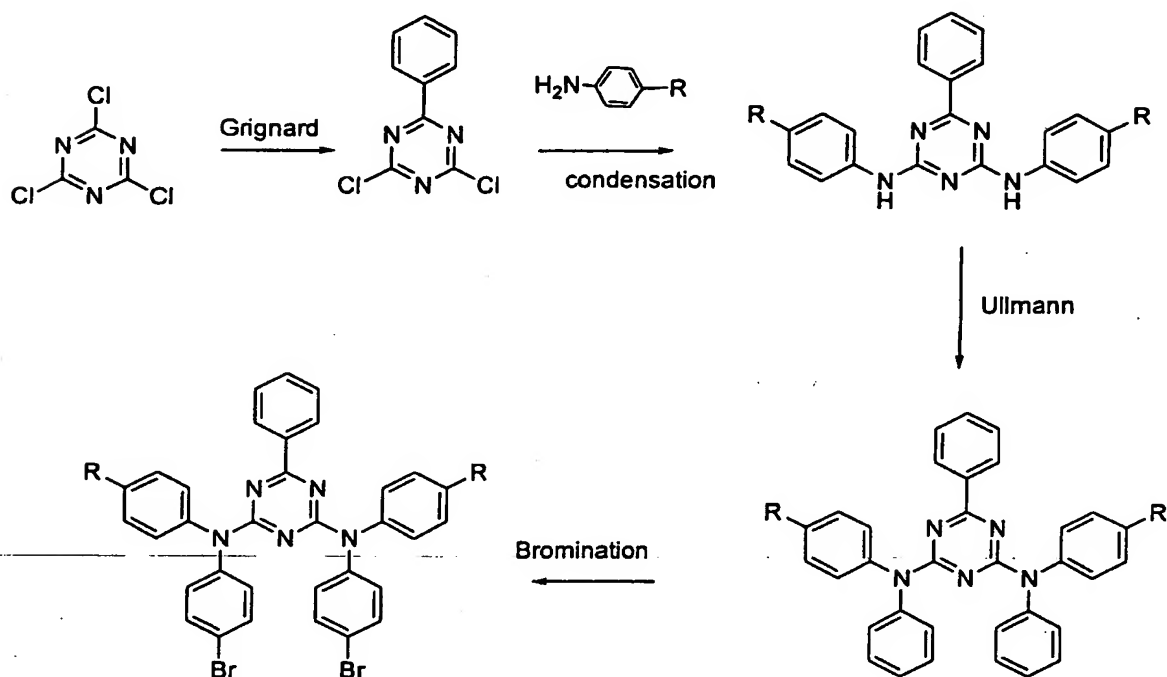
means that polymers having a high molecular weight, for example, more than 100 or 150 repeat units and up to 300 repeat units or 1000 repeat units, may be obtained.

It should be noted that polymers according to the present invention may be end-capped to remove the halogen end-groups. This end-capping, for example by the addition of halobenzene or phenyl boronic acid. This is exemplified in Example 3(ii).

Another polymerisation method is disclosed in US 5,777,070. The process involves contacting monomers having two reactive groups selected from boronic acid, C1-C6 boronic acid ester, C1-C6 borane and combinations thereof with aromatic dihalide functional monomers or monomers having one reactive boronic acid, boronic acid ester or borane group and one reactive halide functional group with each other.

A further polymerisation method is known from "Macromolecules", 31, 1099-1103 (1998). The polymerisation reaction involves nickel-mediated coupling of dibromide monomers. This method commonly is known as "Yamamoto Polymerisation".

Example 1

Triazine 1 Synthesis: General Reaction Scheme(i) Preparation of 2-phenyl-4,6-dichloro triazine

Ref: Helv. Chim. Acta, 1950, 179, 1365.

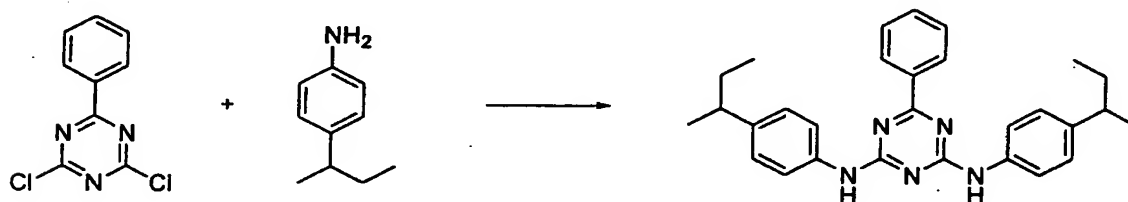


To a cooled -78°C solution of cyanuric chloride (10 g, 54.8 mmol) in THF (150 mL) was added dropwise phenyl Mg-Br (325 mL, 1 M in THF). The reaction mixture was allowed to warm, with stirring, to room temperature. The reaction mixture was evaporated to dryness and then treated with Et₂O-H₂O wash. Extraction with ether afforded 1.02g (93 %) pure. The residue

remaining after the extraction was dissolved in CH_2Cl_2 . This was purified via column chromatography in hexane (dry loaded) to give 10g of desired product as white crystals (99.2%). The overall yield was 90%; 99.2% HPLC purity; ^1H NMR (CDCl_3) 8.52 (2H, dd, J 1.4, 8.5), 7.66 (1H tt, J 1.4, 2.7, 8.8), 7.57-7.50 (2H, m).

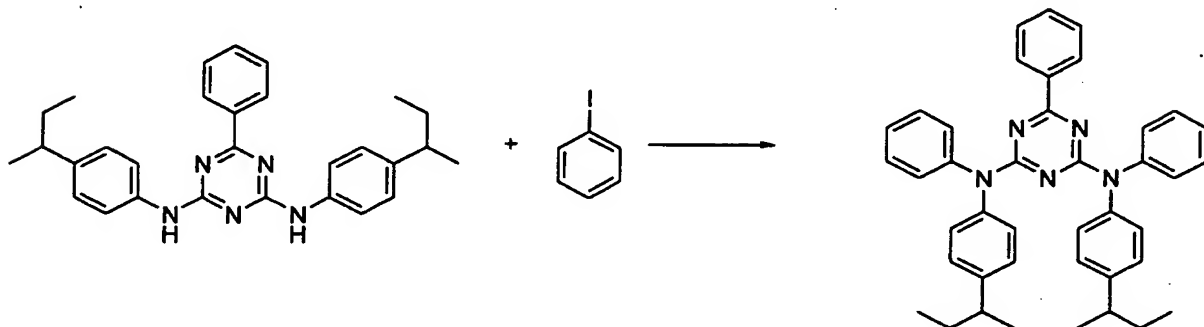
(ii) Preparation of mono-sub phenyl triazine:

Ref: J. Polym. Sci. Polym Chem. Ed., 1984, 22,503



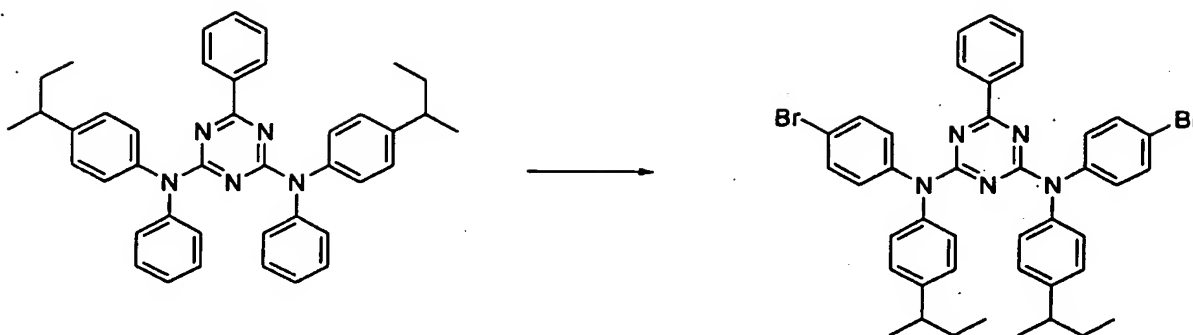
A mixture of 4 -sec butyl aniline (23.6 mL) and Na_2CO_3 (4.69 g, 44.2 mmol) in dioxane (100 mL) was heated to 115 °C. Once this temperature was achieved 2-phenyl-4,6-dichloro triazine (10g, 44.2 mmol) in dioxane (100 mL) was added dropwise over a 3h period. Completion of the reaction was confirmed by tlc. The reaction was quenched by pouring onto ice, the product precipitated as a beige solid. Recrystallisation from EtOAc/hexane gave 15.7 g (79%; 100% HPLC) of the desired material as a white powder. ^1H nmr (CDCl_3) 8.43 (2H,d, J 6.3), 7.56-7.44 (7H,m), 7.32 (2H, brs, NH), 7.32 (4H,d, J 8.3), 2.66-2.53 (2H,m), 1.60 (4H, m), 1.26 (6H,d, J 6.8), 8.0.87 (6H,t, J 7.4); GC-MS 452, 451, 422, 197, 145, 128, 104.

(iii) Preparation of precursor to triazine series I:



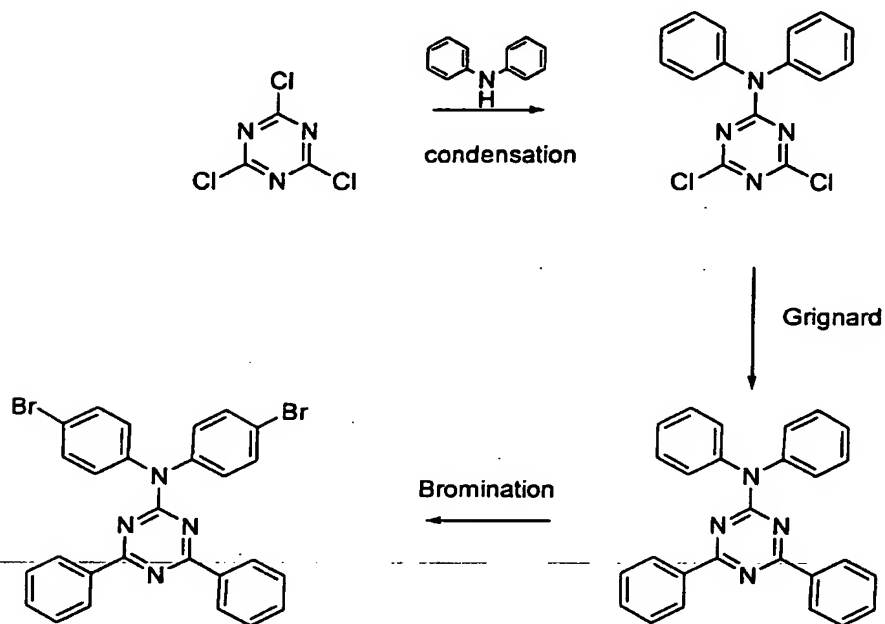
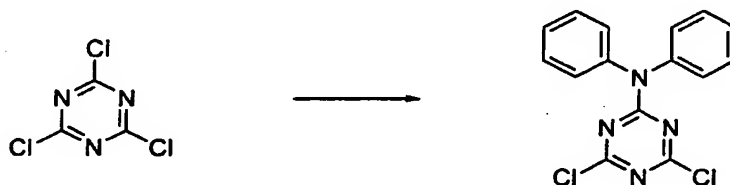
To a solution of mono sub phenyl triazine (10.56 g , 23.4 mmol) in DMF (250 mL) was added copper (5.94 g, 93.48 mmol), potassium carbonate (25.84 g, 187.0 mmol), 18-crown -6 (1.24 g, 4.69 mmol) and iodo benzene (6.55 mL, 14.6 mmol). After 72 h of heating the reaction mixture the suspension was allowed to cool to room temp. and then filtered through celite. Purification of the product was achieved using column chromatography and recrystallisation, giving 12.93 g (92%) of desired product as a white solid. ^1H nmr (CDCl_3) 8.02 (2H, d, J 5.6), 7.38 (1H, t, J 5.2), 7.28-7.06 (20H, m); ^{13}C nmr (CDCl_3) 12.477, 21.961, 31.484, 41.456, 125.557, 127.310, 127.600, 127.928, 128.263, 128.690, 128.789, 131.572, 137.176, 141.377, 143.954, 145.059, 166.513, 170.783.

Preparation of Triazine I:



To a solution of precursor triazine 1 (12.94 g , 21.4 mmol) in DMF (50 mL) was added a solution of *N*-bromo succinimide (7.63, 42.8 mmol) and the reaction mixture stirred at r.t. After 72 h, the product precipitated out as a white solid. This was filtered off and washed with water. The product was then purified with Pet-Ether (100-120 °C) to give 3.66 g (22%) yield of desired product. N.b. 50% of product was lost during purification. An alternative solvent may prove more successful; ^1H nmr (CDCl_3) 8.02 (2H, d, J 7.2), 7.42-7.10 (19H, m), 2.64-2.58 (2H, m), 1.64-1.57 (4H, m), 1.27 (6H, d, J 6.8), 0.88 (6H, t, J 7.2); ^{13}C nmr (CDCl_3) 12.44, 21.91, 31.48, 41.45, 118.84, 127.524, 127.68, 128.37, 128.77, 129.33, 131.73, 131.85, 136.81, 140.79, 142.90, 145.75, 166.26, 171.03;

Example 2

Synthesis of Triazine 2: General reaction Scheme(i) Preparation of 2-diphenylamine- 4,6-dichloro triazine

To a heated solution of diphenylamine (9.18 g, 54.2 mmol), sodium carbonate (5.75 g, 54.2 mmol) in 1,4-dioxane (100 mL) was added a solution of cyanuric chloride (10 g, 54.2 mmol) in 1,4-dioxane (25 mL). Heating was maintained for 2h after which the reaction mixture was poured onto ice water. The product precipitated out as a cream powder. Recrystalliation

from IPA afforded 13.76 g (80% yield) of 2-diphenylamine-4,6-dichloro triazine; ^1H NMR (CDCl_3) 7.20-7.42 (10H, m); ^{13}C NMR 122.23, 122.67, 124.50, 136.64, 160.89, 165.64.

(ii) Preparation of 2 diphenylamine- 4,6-diphenyl- triazine



To a cooled ($-78\text{ }^{\circ}\text{C}$) suspension of 2 diphenylamine- 4,6-diphenyl- triazine (13.76 g, 43.4 mmol) THF (150 mL) was added dropwise phenyl magnesium bromide (28.92 mL, 86.8 mmol, 3M solution in diethyl ether). The temperature was maintained below $-60\text{ }^{\circ}\text{C}$ throughout the addition of the Grignard reagent. Once addition was complete the reaction mixture was allowed to warm to room temperature. The THF was removed under vacuum and then the residue re-dissolved in toluene and washed with 10% citric acid. The organic phase was washed with water, followed by concentration under vacuum. The product precipitated out as a white powder giving 10.36 g (60% yield).

(iii) Preparation of dibromo -2 diphenylamine- 4,6-diphenyl- triazine



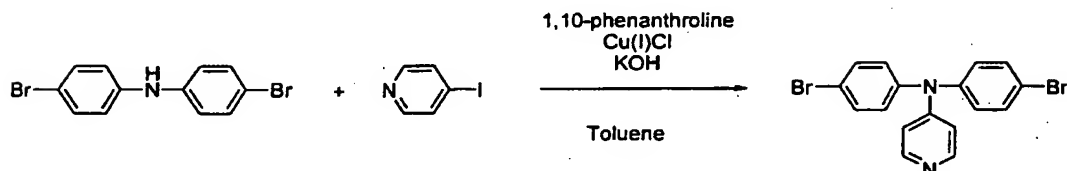
To a solution of 2-diphenylamine- 4,6-diphenyl- triazine (500 mg, 1.25 mmol) in CH_2Cl_2 (10 mL) was added *N*-bromo succinimide (444 mg, 2.5 mmol). The solution was stirred at room temperature for 4 days. GC-MS indicated the reaction had gone to completion. The reaction mixture was filtered and the filtrate evaporated to dryness. The desired product was recrystallised from dioxane/hexane.

scale up, alternative solvent

2-diphenylamine- 4,6-diphenyl- triazine (10.3 g, 25.87 mmol) in DMF (50 mL) and THF (10 mL) was heated to 70 °C until a clear solution formed. The reaction mixture was allowed to cool the 45 °C before *N*-bromo succinimide (9.21g, 51.74 mmol) was added. The suspension was stirred at room temperature for 2 days. GC-MS revealed 40% mono brominated material and 60% desired product.

Example 3

(i) Preparation of TFPy-dibromide



Under nitrogen, a flask was charged with toluene (55 mL), dibromodiphenylamine (7.26g, 22.2 mmol), 4-iodopyridine (5.00g, 24.4 mmol), 1,10-phenanthroline (0.145g, 0.805 mmol), copper (I) chloride (0.08g, 0.0808 mmol), potassium hydroxide (flakes, 9.76g, 174 mmol) and then heated at 117 °C overnight.

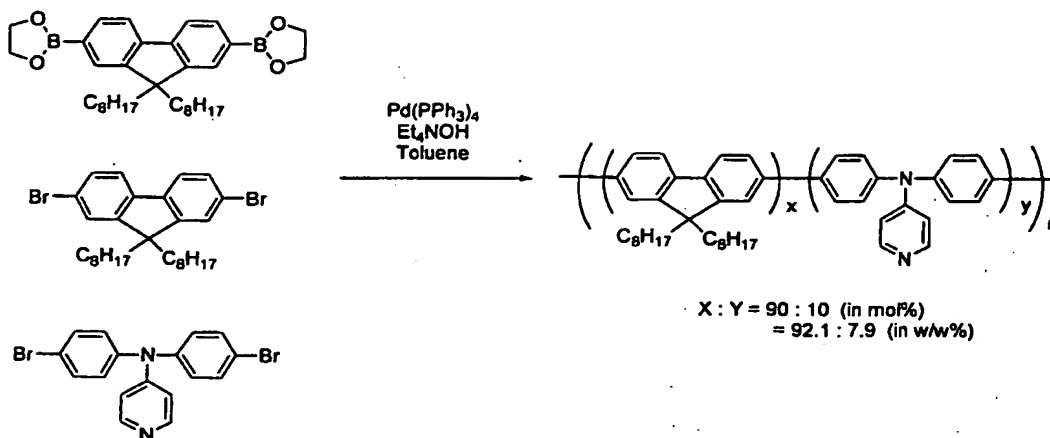
After 18 hours, tlc indicated that some dibromodiphenylamine remained. A further 0.91g (4.44 mmol) of the 4-iodopyridine as well as an extra aliquot of catalyst and ligand was added and heating resumed at 117 °C overnight.

The reaction mixture was allowed to cool to room temperature then treated with toluene (100 mL) and water (100 mL). The solid residue remaining was filtered off.

The aqueous phase was removed and washed with toluene (2x30 mL). The combined toluene layers were washed with water (3x100 mL) until neutral then they were treated with 2N hydrochloric acid (2x50 mL) and washed with water. The product started to precipitate as the acid salt. This suspension was washed with ether (3x50 mL) then basified with 2N sodium hydroxide. The yellow solid precipitate was extracted into dichloromethane (3x20 mL). The combined dichloromethane layers were washed with water (100 mL), saturated sodium chloride (100 mL), dried over MgSO₄, filtered and evaporated to give 9g of a dark oil. This oil was triturated with 20 mL of methanol to give a white solid (5.28g). This material was treated with hot methanol and the solid was filtered off. The mother liquors were concentrated to give 3.89g of product. Recrystallisation from isopropanol afforded 2.8g of a white solid (31% yield).

¹H NMR (CDCl₃) 8.28 (2H, d, J 6.8), 7.47 (4H, d AB, J 8.8), 7.03 (4H, d AB, J 8.8), 6.75 (2H, d, J 6) ; ¹³C NMR (CDCl₃) 153.2, 150.7, 144.3, 133.2, 128.0, 118.9, 113.7.

(ii) Preparation of TFPy-F8 co-polymer



A three-neck 250 mL RBF equipped with a glass stirring rod attached to a mechanical stirrer and a condenser connected to a nitrogen line was charged with F8-diboronic ester (4.7734g, 9 mmol), F8-dibromide (3.9490g, 7.2 mmol), TFPy-dibromide (0.7276g, 1.8 mmol) and toluene (45 mL). The solution was stirred under nitrogen for 10 min at room temperature. The catalyst tetrakis(triphenylphosphine)palladium (31.4 mg) was added. After ten minutes, tetraethylammonium hydroxide (20%wt in water, 30 mL) was added. The reaction mixture was heated to 115 °C and maintained at reflux overnight. Bromobenzene was added (1.5 mL) and the mixture was allowed to stir at reflux for 45 min. Phenyl boronic acid (1.5 g) was added and the solution refluxed for a further 1h 30 then allowed to cool to room temperature.

The polymer was precipitated into 3 L of methanol and then redissolved in toluene (300 mL) by heating at 115 °C for few hours. A solution of diethyldithiocarbamic acid sodium salt trihydrate (10g in 110 mL of water) was added and the mixture was stirred under nitrogen at 65 °C overnight.

The mixture was allowed to cool to room temperature and the aqueous phase was separated from the organic phase. The salt

wash was then repeated with diethyldithiocarbamic acid sodium salt trihydrate (10g in 110 mL of water) and the mixture was again stirred for 4 hours under nitrogen at 65 °C.

After cooling to room temperature, the aqueous phase was separated from the organic phase. The organic phase was washed with water (250 mL).

The aqueous phase was separated from the organic phase. 1/5 of the organic phase was filtered through 60g of celite and washed with toluene. The solvent was concentrated to 70 mL then added dropwise into 600 mL of methanol. The precipitated polymer was filtered then dried to afford 0.92g, Mp 260,000. The rest of the organic phase (4/5) was also filtered through 60g of celite and washed with toluene. The solvent was concentrated to 250 mL then added dropwise into 2.5 L of methanol. The dried polymer gave 5.39g, Mp 256,000.

Based on the above Examples and the information provided on general polymerisation methods the skilled person will be able to prepare further polymers according to this invention, for example:

- 1) Polymerisation of F8-diboronic ester (50%), F8-dibromide (30%) and Triazine 1 (20% triazine 1 prepared in Example 1.
- 2) Polymerisation of F8-diboronic ester (50%), F8-dibromide (40%) and triazine 1 (10%). This procedure is the same as Example (3ii) but with Triazine 1 instead of TFPy.
- 3) Polymerisation of F8-diboronic ester (50%) and Triazine 1 (50%)

Example 4An Optical Device

A suitable device structure is shown in Figure 1. The anode 2 is a layer of transparent indium-tin oxide ("ITO") supported on a glass or plastic substrate 1. The anode 2 layer has a thickness between 1000-2000 Å, usually about 1500 Å. The cathode 5 is a Ca layer having an approximate thickness of 1500 Å. Between the electrodes is a light emissive layer 4 having a thickness up to about 1000 Å. The emissive layer 4 comprises between 0.5 to 30% by weight of the present polymer with the remainder of the emissive layer consisting of hole and/or electron transport material and/or emissive material. Advantageously, the device includes a hole transport material layer 3 of PEDOT having a thickness of about 1000 Å. Layer 6 is an encapsulant layer of a suitable thickness.

CLAIMS

1. A polymer which comprises triarylamine units, which polymer comprises one or more structural units comprising:

$\text{Ar}^h\text{-NAr}_2$, where Ar^h comprises a substituted or unsubstituted heteroaryl group and each Ar is the same or different and comprises a substituted or unsubstituted aryl or heteroaryl group and excluding the copolymer where the polymer backbone contains one or more divinylenearylene units.

2. A polymer according to claim 1, wherein Ar^h comprises a substituted or unsubstituted nitrogen-containing heteroaryl group.

3. A polymer according to claim 1 or claim 2, wherein each Ar is a substituted or unsubstituted phenyl group.

4. A polymer according to any one of the preceding claims, which comprises a linear polymer in which the or each structural unit comprises $\text{Ar}^h(\text{NAr}_2)\text{Ar}^{\text{co}}$, wherein Ar^{co} comprises a substituted or unsubstituted aryl or heteroaryl group.

5. A polymer according to any one of the preceding claims, wherein Ar^h is part of the polymer backbone.

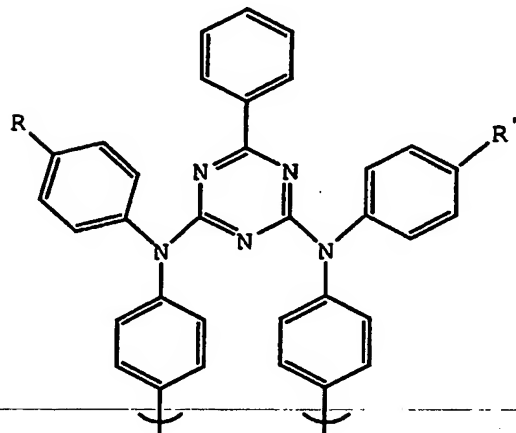
6. A polymer according to claim 5, which comprises a plurality of structural units having a formula as shown in formula (11):



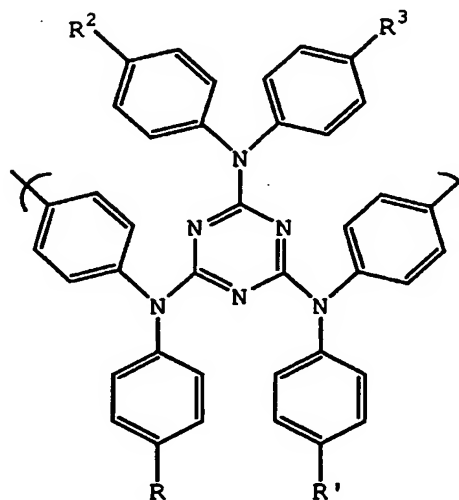
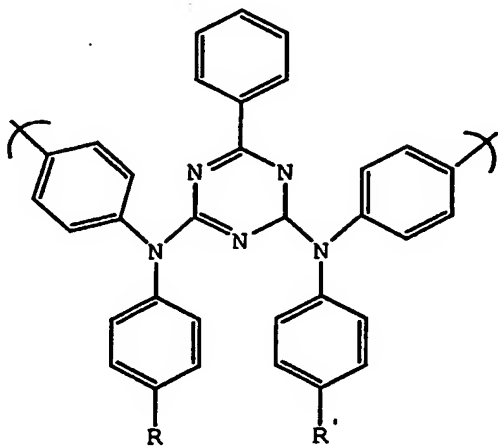
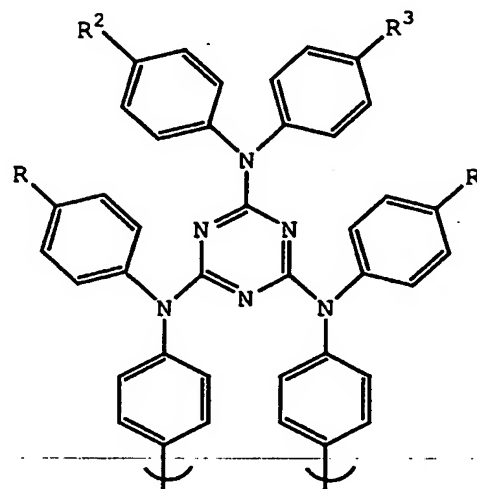
where (NAr_2) and $(\text{Ar}_2\text{N})'$ are the same or different from one another.

7. A polymer according to claim 5 or claim 6, wherein Ar^h comprises a triazine group.

8. A polymer according to claim 7 which comprises a plurality of structural units having a formula as shown in formula (12a), (12b), (16a) or (16b):



or



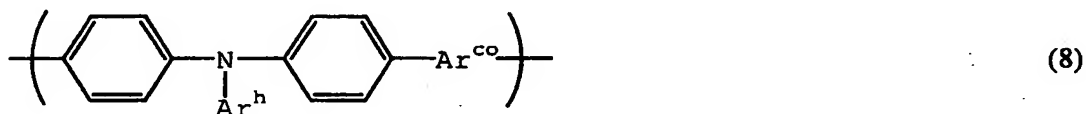
where R, R¹, R² and R³ are the same or different and each is a hydrogen, aryl, alkyl, heteroaryl, heteroalkyl, arylalkyl, aromatic or heteroaromatic group.

9. A polymer according to any one of claims 1 to 4, wherein Ar^h is pendent from the polymer backbone

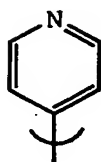
10. A polymer according to claim 9, which comprises a plurality of structural units having a formula as shown in formula (13):



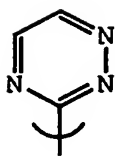
11. A polymer according to claim 10, which comprises a plurality of structural units having a formula as shown in formula (8):



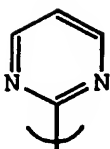
12. A polymer according to claim 10 or claim 11, wherein Ar^h comprises a group as shown in any one of formulas (1) to (4):



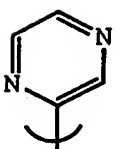
(1)



(2)

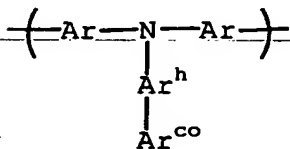


(3)



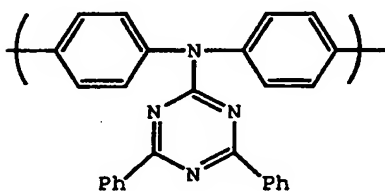
(4)

13. A polymer according to claim 9, which comprises a plurality of structural units having a formula as shown in formula (14):



(14)

14. A polymer according to claim 13, which comprises a plurality of structural units having a formula as shown in formula (15):



(15)

15. A polymer according to any one of the preceding claims, which comprises a homopolymer or homo-oligomer.

16. A polymer according to any one of the preceding claims, which comprises a copolymer or co-oligomer.

17. A polymer according to any one of claims 1 to 3, which comprises a dendrimer.

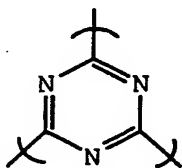
18. A polymer according to claim 17, wherein the or one of the structural units comprises a core unit.

19. A polymer according to claim 17 or claim 18, which comprises a single structural unit.

20. A polymer according to any one of claims 17 to 19, wherein the structural unit comprises $\text{Ar}^h(\text{NAr}_2)_3$, where each (NAr_2) is the same or different.

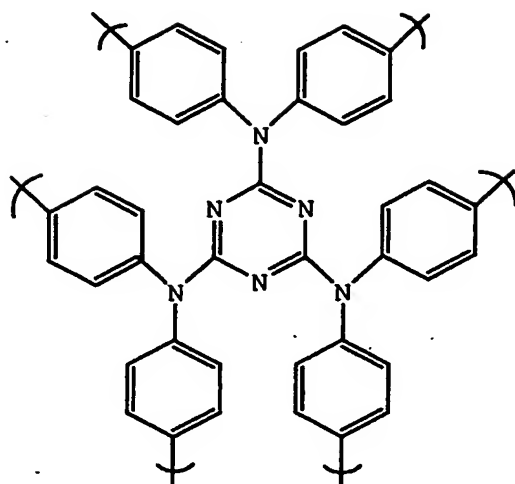
21. A polymer according to claim 20, wherein each (NAr_2) is the same.

~~22. A polymer according to any one of claims 17 to 21, wherein Ar^h comprises a group as shown in formula (5):~~



(5)

23. A polymer according to claim 22, wherein the structural unit comprises a group having formula (6):



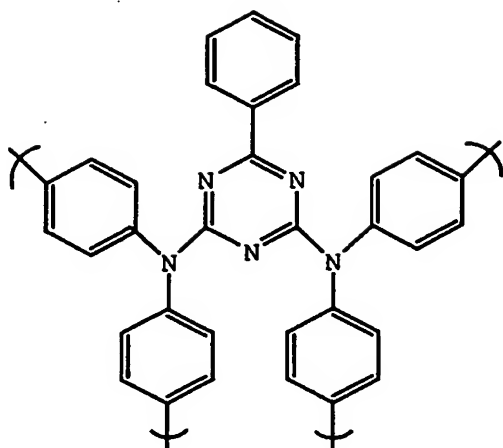
(6)

24. A polymer according to any one of claims 17 to 19, wherein the structural unit comprises $\text{Ar}^h(\text{NAr}_2)_2\text{Ar}''$, where Ar is a substituted or unsubstituted aryl or heteroaryl group and each (NAr_2) is the same or different.

25. A polymer according to claim 24, wherein each (NAr_2) is the same.

26. A polymer according to claim 24 or claim 25, wherein Ar'' is a substituted or unsubstituted phenyl group.

27. A polymer according to claim 26, wherein the structural unit comprises a group as shown in formula (7):



(7)

28. A polymer according to any one of the previous claims for use in an optical device.

29. An optical device comprising a substrate and a composition supported by the substrate, which composition comprises a polymer according to claim 28.

30. An optical device according to claim 29 which comprises an electroluminescent device.

31. An optical device according to claim 30 in which the composition includes an electroluminescent emissive layer.

32. An optical device according to claim 30 in which the composition includes an electron-transporting layer.

33. An optical device according to claim 30 in which the composition includes a hole-transporting layer.

34. Use of a polymer according to any one of claims 1 to 28 as a component in an optical device.

35. Use according to claim 34, wherein the optical device comprises an electroluminescent device.

36. Use of a polymer according to claim 34 or claim 35 in an emissive layer.

37. Use of a polymer according to claim 34 or claim 35 in an electron-transporting layer.

38. Use of a polymer according to claim 34 or claim 35 in a hole-transporting layer.

1/1

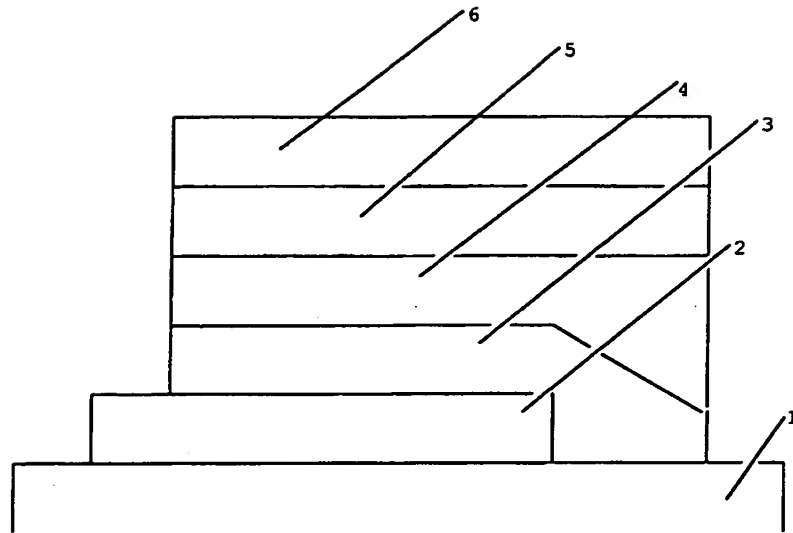


Figure 1

INTERNATIONAL SEARCH REPORT

Internat. Application No.

PCT/GB 01/00029

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C08G61/12 C09K11/06 H05B33/14 H01L51/20 H01L51/30

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C08G C09K H05B H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, COMPENDEX, EPO-Internal, CHEM ABS Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 777 070 A (INBASEKARAN MICHAEL ET AL) 7 July 1998 (1998-07-07) cited in the application claim 1	1-38
A	US 5 814 244 A (KREUDER WILLI ET AL) 29 September 1998 (1998-09-29) cited in the application claims 1-14	1-38
A	WO 99 54385 A (DOW CHEMICAL CO) 28 October 1999 (1999-10-28) claims 1-16	1-38

☐ Further documents are listed in the continuation of box C.☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

2 Apr11 2001

Date of mailing of the international search report

10/04/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Hoffmann, K

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 01/00029

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5777070	A	07-07-1998	CN 1276806 T	13-12-2000
			EP 1025142 A	09-08-2000
			WO 9920675 A	29-04-1999
US 5814244	A	29-09-1998	DE 19532574 A	06-03-1997
			DE 19535938 A	03-04-1997
			CN 1199414 A	18-11-1998
			WO 9709394 A	13-03-1997
			EP 0848739 A	24-06-1998
WO 9954385	A	28-10-1999	CN 1263542 T	16-08-2000
			EP 0988337 A	29-03-2000

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ FADED TEXT OR DRAWING
- ☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.